

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 020489-000140US

First Inventor ST. GOAR, FREDERICK G.

Title METHODS AND APPARATUS FOR CARDIAC VALVE REPAIR

Express Mail Label No. EV 348063586US

17497 U.S. PTO
10/63576
08/05/03**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning design patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification w/title page [Total Pages 71]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 84]
5. Oath or Declaration [Total Pages 4]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TOMail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper number of pages
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. Other: Statement Under CFR §1.63(d)(2)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: 09/544,930

Prior application information: Examiner O'CONNOR, Cary E.

Art Unit: 3732

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS Customer Number

20350

OR Correspondence address below

Name					
Address					
City			State		Zip Code
Country			Telephone		Fax

Name (Print/Type)	Lynn M. Thompson	Registration No. (Attorney/Agent)	47,991
Signature			Date August 5, 2003

60010087 v1

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

771

Complete if Known

Application Number	
Filing Date	August 5, 2003
First Named Inventor	ST. GOAR, FREDERICK G.
Examiner Name	
Art Unit	
Attorney Docket No	020489-000140US

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)				
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> MoneyOrder	<input type="checkbox"/> Other	<input type="checkbox"/> None	3. ADDITIONAL FEES			
<input checked="" type="checkbox"/> Deposit Account:				Large Entity	Small Entity	Fee Description		
Deposit Account Number	20-1430			Fee Code	Fee (\$)	Fee Code	Fee (\$)	
Deposit Account Name	Townsend and Townsend and Crew LLP			1051	130	2051	65	Surcharge - late filing fee or oath
The Commissioner is authorized to: (check all that apply)				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments				1053	130	1053	130	Non-English specification
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application				1812	2,520	1812	2,520	For filing a request for reexamination
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
				1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
				1251	110	2251	55	Extension for reply within first month
				1252	410	2252	205	Extension for reply within second month
				1253	930	2253	465	Extension for reply within third month
				1254	1,450	2254	725	Extension for reply within fourth month
				1255	1,970	2255	985	Extension for reply within fifth month
				1401	320	2401	160	Notice of Appeal
				1402	320	2402	160	Filing a brief in support of an appeal
				1403	280	2403	140	Request for oral hearing
				1451	1,510	1451	1,510	Petition to institute a public use proceeding
				1452	110	2452	55	Petition to revive – unavoidable
				1453	1,300	2453	650	Petition to revive – unintentional
				1501	1,300	2501	650	Utility issue fee (or reissue)
				1502	470	2502	235	Design issue fee
				1503	630	2503	315	Plant issue fee
				1460	130	1460	130	Petitions to the Commissioner
				1807	50	1807	50	Petitions related to provisional applications
				1806	180	1806	180	Submission of Information Disclosure Stmt
				8021	40	8021	40	Recording each patent assignment per property (times number of properties)
				1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))
				1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))
				1801	750	2801	375	Request for Continued Examination (RCE)
				1802	900	1802	900	Request for expedited examination of a design application
				Other fee (specify) _____				
				SUBTOTAL (1) (\$375)		SUBTOTAL (3) (\$)		
				*Reduced by Basic Filing Fee Paid				

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)
Name (Print/Type)	Lynn M. Thompson	Registration No. (Attorney/Agent)	47,991	Telephone	650-326-2400	
Signature				Date	August 5, 2003	

60010092 v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ST. GOAR, Frederick G. et al.

Application No.:

Filed:

For: METHODS AND APPARATUS
FOR CARDIAC VALVE REPAIR

Examiner: Unassigned

Technology Center/Art Unit: 3732

STATEMENT UNDER CFR §1.63(d)(2)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This statement requests the deletion of Martin S. Dieck, Brian B. Martin, Sylvia Wen-Chin Fan, Eric A. Goldfarb, Kent D. Dell, and Ferolyn T. Powell as an inventors for this continuation application (Attorney Docket Number 020489-000140US) which is a continuation of U.S. Application No. 09/544,930 (Attorney Docket Number 020489-000110US).

Respectfully submitted,


Lynn M. Thompson
Reg. No. 47,991

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 415-576-0300
LMT:lmt
60010968 v1